

Dickinson Debarment & Suspension Annual Recertification

Federal regulations published in 45 CFR Part 76 implement the government-wide debarment and suspension system for Federal non-procurement transactions. "Non-procurement transactions" include grants, cooperative agreements, scholarships, fellowships, and loans. Accordingly, awardees supported by federal funds are required to certify that, to the best of their knowledge and belief, they and their principals (including PIs and other key personnel*) have not engaged in or committed certain acts. Because you are the PI or are considered key personnel on a federally funded grant project to which this standard is applicable, you are required to make the following certification.

I, _____ hereby certify to the best of my knowledge and belief, that:

- I am not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- since the beginning of my project I have not been convicted of, or had a civil judgment rendered against me for:
 - committing fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction;
 - violating a Federal or State antitrust statute;
 - embezzlement, theft, forgery, bribery, falsification or destruction of records; or
 - making false statements or receiving stolen property;
- I am not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated above; and
- since the beginning of my project, I have not had any public transaction (Federal, State, or local) terminated for cause or default.

NOTE BEFORE SIGNING: Individuals who are suspended, debarred, or voluntarily excluded from eligibility cannot receive Federal grants or be paid from Federal agency grant funds, whether under a primary or lower-tier transaction, during the period of suspension, debarment, or exclusion. Because individuals who have been debarred, suspended, declared ineligible, or voluntarily excluded from covered transactions may not receive Federal funds for a specified period of time, charges made to Federal grants for such individuals (e.g., salary) are unallowable.

Signature of Principal Investigator or Key Personnel: _____

Date: _____

***Key Personnel** includes the Principal Investigator (PI) and other individuals so designated on a federal funding proposal. They contribute to the scientific development or execution of a project in a substantive, measurable way, whether or not they receive salaries or compensation under the grant. They typically have doctoral or other professional degrees, although individuals at the masters or baccalaureate level may be considered senior/key personnel if their involvement meets the definition. Consultants also may be considered senior/key personnel if they meet the definition. Dickinson generally does NOT consider students participating in a federally funded project to be key personnel, unless they are named in the proposal.