

148.1 Financial Disclosure

DISCLOSURE OF SIGNIFICANT FINANCIAL INTEREST FOR INVESTIGATORS SUBMITTING APPLICATIONS FOR FUNDED RESEARCH

The following disclosure is made pursuant to the requirements of the Dickinson College Conflict of Interest Policy in Research, which addresses the requirements of the PHS and HHS rules regarding Financial Conflicts of Interest. Investigators must disclose any Significant Financial Interest and any reimbursed or sponsored travel related to their College duties that were provided by an entity other than the College or a governmental agency. This disclosure must be updated annually and within thirty days after any new Significant Financial Interest is acquired or becomes known.

DEFINITIONS:

Significant Financial Interest - Anything of monetary value, including but not limited to salary or other payments for services (e.g., consulting fees or honoraria); equity interests (e.g., stocks, stock options or other ownership interests in either publicly traded or non-publicly traded entities); intellectual property rights (e.g., patents, copyrights and royalties from such rights that reasonably appears to be related to the Investigator's professional responsibilities on behalf of Dickinson. This definition specifically excludes anything of value paid by Dickinson to an employee. It also excludes payments for services and equity interests received from publicly traded entities, if the value received by the Investigator (including the investigator's spouse and dependent children) in the preceding twelve months, does not exceed five thousand dollars. This definition also excludes any payments for services received from non-publicly traded entities, if the value received in the preceding twelve months does not exceed five thousand dollars.

Investigator - The Principal Investigator and any other person at Dickinson who is responsible for the design, conduct, or reporting of research funded by federal sources. Typically this will include the Principal Investigator and co-investigators. For the purposes of this disclosure requirement, the term "Investigator" includes the Investigator's spouse and dependent children.

*Disclosure: Investigator Name: _____ Dept.: _____

Name of Entity Providing Significant Financial Interest or Sponsored/Reimbursed Travel: _____

Type of Organization (e.g., pharmaceutical firm; biotech firm; engineering company; software company, etc.) _____

Nature and Value of Significant Financial Interests that, aggregated for the preceding twelve months, exceeds \$5,000:

Salary \$ _____ Royalties \$ _____ Licensing Fees \$ _____ Consulting Fees \$ _____

Honoraria \$ _____ Equity Interests in Publicly Traded Entities \$ _____ Other (Type) _____ \$ _____

Sponsored/Reimbursed Travel: Purpose of trip: _____

Sponsor/organizer: _____ Destination _____

Duration (days): _____ Date(s) of Travel: _____ Monetary Value in Dollars: _____

Other Significant Financial Interests:

Equity Interest in Non-Publicly Traded Entities _____ Patents/Copyrights _____ Other _____

I have read the Conflict of Interest Policy for Research. I certify that the foregoing information is true and correct to the best of my knowledge, information and belief.

Investigator's SignatureDate:

*Please note that a separate form is required for each enterprise or entity in which a significant financial interest is identified.

Please forward the completed form to the Associate Provost.